

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
12038

In Re Application Of: Tom BASCOM, et al.

#2  
10/09/05  
10/18/02

Serial No. New Application	Filing Date Herewith	Examiner n/a	Group Art Unit n/a
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Title: A SYSTEM AND METHOD FOR COLLECTING, STORING, MANAGING AND PROVIDING CATEGORIZED INFORMATION RELATED TO A DOCUMENT OBJECT

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR
- ☐ the fee set forth in 37 CFR 1.17(p).

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Examiner  
n/a

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n/a

**A SYSTEM AND METHOD FOR COLLECTING, STORING, MANAGING AND PROVIDING  
INFORMATION RELATED TO A DOCUMENT OBJECT**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
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Dated: January 18, 2002

Aldo Noto, Reg. No. 35,628  
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McLean, VA 22311  
Tel. (703) 288-5240  
Fax (703) 288-5260

CC:

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

12038

Application Number

New Application

Applicant(s)

Tom BASCOM, et al.

Filing Date

Herewith

Group Art Unit

n/a

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,209,036		B. Aldred et al.			
		6,112,202		J. Kleinberg			
		6,049,799		S. Mangat et al.			
		5,940,831		H. Takano			
		5,933,827		G. Cole et al.			
		5,895,470		P. Pirollo et al.			
		5,608,900		M. Dockter et al.			
		6,145,000		B. Stuckman et al.			
		6,073,135		A. Broder et al.			
		6,037,935		C. Bates et al.			
		6,035,325		R. Potts			

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Goldfarb, Charles F., et al., "The XML Handbook", Prentice Hall PTR, 2001., The handbook in its entirety.
		DeRose, Steve, et al., "XML Linking Language (XLink) Version 1.0", www.w3.org/TR/xlink/, June 27, 2001, p.p. 1-34.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	Docket Number (Optional) <b>12038</b>	Application Number <b>New Application</b>
	Applicant(s) <b>Tom BASCOM, et al.</b>	
	Filing Date <b>Herewith</b>	Group Art Unit <b>n/a</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,032,162		A. Burke			
		5,895,471		P. King et al.			
		5,822,539		A. Van Hoff			
		5,809,317		D. Kogan et al.			
		5,721,911		K. Ha et al.			
		5,694,594		D. Chang			
		5,634,048		T. Ryu et al.			
		6,044,374		P. Astiz et al.			
		6,032,196		L. Monier			
		6,006,252		M. Wolfe			
		5,987,480		M. Donohue et al.			

16978 U.S. PTO  
 10/050515  
 01/18/02

**FOREIGN PATENT DOCUMENTS**

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		5,940,834		D. Pinard et al.				
		5,999,929		Goodman				
		6,182,113		Narayanaswami				
		6,182,072		Leak et al.				
		6,236,991		Frauenhofer et al.				
		6,226,655		Bowman et al.				
		5,966,126		Szabo				
		5,943,070		Prager				
		6,092,074		Rodkin et al.				
		6,009,410		Le Mole et al.				
		6,185,614		Cuomo et al.				
<b>FOREIGN PATENT DOCUMENTS</b>								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>				
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